

Grant Application Form		Office use only	Application ref:
Sub Saharan Africa Development Programme			Project ref:

<b>Scottish Government</b>
<p data-bbox="751 667 1002 734"><b>Extension Funding Application Form</b></p> <p data-bbox="576 790 1177 831"><b>Sub Saharan Africa Development Programme</b></p> <p data-bbox="834 857 963 891"><b>July 2015</b></p>

## CHECKLIST

Your Application consisting of:

- Part 1 - the Application Form (this document)
- Part 2 - the Logical Framework in Excel Spreadsheet in format provided with both 'tabs' completed
- Part 3 - the Budget in the Excel Spreadsheet format provided - with all tabs and summary sheet completed

Please ensure that you have considered the following:

- Have you provided your budget based on Scottish Government financial years (i.e. 1 April - 31 March)?
- Have you checked that your budget is complete, correctly adds up and correlates with your project description and the logical framework? Have you included the correct final totals at Q?
- Has your application been approved by a suitably authorised individual? (Clear electronic or scanned signatures are acceptable in the e-mail, but a 'wet' signature should be provided in the hard copy version).

Please ensure the following **essential documents** are attached to your application. Your application will be ineligible without these.

## Declaration

I apply on behalf of the organisation (insert name) \_\_\_\_\_  
\_\_\_\_\_ for a grant as proposed in this application in respect of expenditure to be incurred over the proposed funding period on the activities described within the application form and supporting documentation.

I certify that, to the best of my knowledge and belief, the statements made by me in this application are true and the information provided is correct.

This form should be signed by an individual authorised by the applicant organisation to submit applications and sign contracts on their behalf.

Signature \_\_\_\_\_ Print Name \_\_\_\_\_  
Position \_\_\_\_\_ Date 16<sup>TH</sup> SEPTEMBER 2015.

Once you have completed the form, please submit the application by e-mail to:  
\_\_\_\_\_ by no later than **noon on Wednesday 16 September** using the project title as the subject of your email.

If you are e-mailing supporting documentation separately please include in the subject line an indication of the number of e-mails you are sending (e.g. whether the e-mail is 1 of 2, 2 of 3 etc).

In addition, a **signed hard copy of the application** and any supporting documents not available electronically must be submitted to Scottish Government to arrive no later than **16 September**. This must include all three parts of the application and supporting documents or the application will be disqualified.

Please note, when sending hard copy applications and supporting documents please ensure the name of your organisation is highlighted on the envelope for ease of identification. Please send to:

\_\_\_\_\_  
Scottish Government  
International Development Team  
Victoria Quay - Area 3C-North  
Edinburgh  
EH6 6QQ

If you are unable to return the form electronically, please contact the **Scottish Government** International Development Team on 0131 244 \_\_\_\_\_ in advance of the deadline.

**These deadlines are all absolute and all documentation required must be submitted on time.**

**SCOTTISH GOVERNMENT  
SUB SAHARAN AFRICA DEVELOPMENT PROGRAMME**

<b>1. Name of organisation.</b>
Oxfam Scotland

<b>2. Scottish Project Manager details.</b>	
Name:	[REDACTED]
Position in organisation:	[REDACTED]
Address	Abbey House 10 Bothwell Street Glasgow G2 6LU
Telephone:	[REDACTED]
E-mail:	[REDACTED]

<b>3. Project Title to be used in all future correspondence.</b>
<b>Food Security for Tanzanian Farmers</b>

<b>4. Describe in one sentence the overall Objective of this project.</b>
<b>NB: for successful projects this may be used for publicity purposes.</b>
The project will improve food security for 1,700 rice smallholder farmers and 500 vegetable farmers (60%women) and improve their resilience to climate change with increased access to agro inputs, improved irrigation, improved agricultural practices, access to land, income diversification and access to finance for enterprise development.

<b>5. Funds requested from Scottish Government:</b>	
1 April 2016 – 31 March 2017	<b>£ 300,000</b>

6. Contact details of lead partner organisations in Tanzania. If more than one partner organisations should be listed, please copy and paste the cells.

<b>Organisation:</b>	<b>Rural Urban Development Initiatives (RUDI)</b>
<b>Type of organisation:</b>	RUDI is a non profit development organisation which works to support and grow micro-small enterprises (MSEs) and farming communities through improved market links and distribution channels for their produce.
<b>Relationship between organisation and yours (maximum word limit, 100 words):</b>	Oxfam commissioned RUDI to carry out a feasibility study on existing warehouses in Shinyanga Region in 2011 RUDI has also been an implementing partner of the 2012-2015 project funded by Scottish Government as well as the 2015-16 extension project.
<b>Address:</b>	Plot No.217/218, Off Kimweri Road, Msasani Village
<b>Postcode:</b>	P.O.BOX 78741, Dar es Salaam
<b>Telephone:</b>	0255 0754)2 88 151,
<b>Email:</b>	Email: ruditz@yahoo.com
<b>Fax:</b>	+255 22 260 1874
<b>Website:</b>	
<b>Organisation:</b>	<b>Service Health and Development for People Living with HIV/AIDS (SHDEPHA+ Kahama)</b>
<b>Type of organisation:</b>	SHDEPHA+ is an NGO whose mission is to eradicate hunger, disease and poverty. They work in agriculture and livestock, health, HIV and AIDS, land rights, microcredit and microenterprise, and professional and vocational training.
<b>Relationship between organisation and yours (maximum word limit, 100 words):</b>	SHDEPHA+ has provided training on functional literacy, entrepreneurship, and leadership to women and men through training of trainers in Oxfam programmes in the Shinyanga Region since 2008 and has been an implementing partner of Scottish Government funded projects since 2012.
<b>Address:</b>	P.O. BOX 564, Kahama
<b>Postcode:</b>	
<b>Telephone:</b>	+255 763830222
<b>Email:</b>	<a href="mailto:SHIDEPHAKahama@yahoo.com">SHIDEPHAKahama@yahoo.com</a>
<b>Fax:</b>	
<b>Website:</b>	
<b>Organisation:</b>	<b>HomeVeg Ltd</b>

<b>Type of organisation:</b>	HomeVeg is a private Tanzanian company with experience in high value horticultural farming and finance for smallholders. In the past three years, as part of the Scottish Government funded project, HomeVeg has engaged around 1,400 growers who are organised into vegetable market associations/ groups/ out-growers.
<b>Relationship between organisation and yours (maximum word limit, 100 words):</b>	Oxfam has been in partnership with HomeVeg since 2011, investing in a cold room in Lushoto, capacity building of vegetable producer groups on Global GAP practices and certification, product bulk buying and enabling farmers from Tanga Region to access new export markets for fresh vegetables. Homeveg has been a key stakeholder of Scottish Government funded projects since 2012.
<b>Address:</b>	P.O.BOX 14256,
<b>Postcode:</b>	Arusha, Tanzania
<b>Telephone:</b>	+255 784 326206/+255 754 817314/+255 787 497720
<b>Email:</b>	<a href="mailto:info.homevegtz@gmail.com">info.homevegtz@gmail.com</a>
<b>Fax:</b>	
<b>Website:</b>	
<b>Note:</b> Whilst partners above remain the same as original application; we have terminated previously held partnership with LBTIC due to financial mismanagement in 2014-15.	

<b>7. Details of Project Manager in Rwanda, Tanzania or Zambia (if different from original application)</b>	
<b>Name:</b>	[REDACTED]
<b>Position in organisation:</b>	[REDACTED]
<b>Address:</b>	Mikocheni Old Bagamoyo Road Plot 270, Kiko Avenue
<b>Postcode:</b>	P.O. Box 10962, Dar es Salaam
<b>Telephone:</b>	[REDACTED]
<b>Email:</b>	[REDACTED]
<b>Fax:</b>	

<b>8. Which districts in country will the project are based in? (If different from original application)</b>	
<b>Location (District):</b>	Shinyanga Region: Kahama and Shinyanga Rural districts. Geita region: Bukombe and Mbogwe districts. Simiyu region: (Maswa district). Tanga Region: Lushoto and Korogwe districts.
<b>Address:</b>	Project across four regions coordinated from Oxfam Tanzania: Mikocheni Old Bagamoyo Road Plot 270, Kiko Avenue

P.O. Box 10962, Dar es Salaam

9. Please describe the role of the lead partner organisation in developing your application for funding. **Maximum word limit: 150 words.**

Oxfam has worked with all three of the partners (listed in Section 6 above), in a long term partnership, to develop the content and strategy for this proposal. Early conversations about the possibility of further extension work were held with partners and beneficiaries during a workshop attended by Scottish Project Manager in May 2015. A follow up proposal development workshop with all partners was held in July 2015 during which partners and staff, in consultation with beneficiary representatives, used learning from the first phase of implementation to inform the design of the program extension application. This proposal, consolidating existing Scottish Government funded work, brings together organisations with varied, but specific skills in support of the development of established rice and vegetable value chains.

RUDI provides expert MSE support including capacity building, group facilitation and technical skills development. SHIDEPHA+ Kahama enables producer marketing groups and farmers to access land rights and technical capacity building. HomeVeg, a private sector partner provides capital investment infrastructure, capacity development of vegetable farmers, trains and certifies smallholder farmers in Global GAP standards and supports vegetable production for export to European markets.

10. Please confirm that a letter supporting the application for extension funding written by your lead partner organisation is included, which addresses the points above from your partner organisation's perspective.

**Please note: the application will not be eligible without this.**

Letter supporting the application by extension funding by Lead partner organisation are included.

Yes

11. Please list the roles and responsibilities of your organisation and partners in the project, as well as their location. Please include details about responsibilities for management and coordination of work between partners - rows may be added to accommodate additional partner organisations as required. **(If different from original application)**

Organisation/ Location	Role	Responsibilities	Frequency and method of contact
Oxfam Scotland	Grant management	Communicate progress and changes between implementing team and donor.  Communicate on project progress and M&E.  Assist in compiling reports to donor.	Communications as necessary with donor and other project staff in country.  Monthly update calls with Tanzania Project Manager. Biannual.

		<p>Liaise with relevant departments in Oxfam GB to support project progress and effectiveness (Programme Funding, MEL, Finance).</p> <p>Promote the achievements and share learning from the project in Scotland.</p> <p>Support Oxfam Tanzania team to provide financial forecasting to Scottish Government</p>	<p>Communications as necessary with Oxfam GB staff.</p> <p>Three events per year. Two written articles or blogs. Annually.</p>
Oxfam Tanzania Dar es Salaam, Shinyanga, Arusha	Project facilitation and coordination	<p>Facilitate partner's activity implementation, transfer funds and monitor project process and results, compile reports and monitor progress.</p> <p>Facilitating learning events and exchange activities.</p> <p>Managing M&amp;E</p> <p>Financial forecasting to Scottish Government</p>	<p>Frequent monitoring visits, at least one per project site per quarter through Value Chain Advisors and Managers.</p> <p>Monthly update calls with partners Quarterly financial review 6 monthly written reporting</p> <p>Reporting to Scottish Government at Mid Year (October), End Year (April) and financial forecasting (January).</p> <p>Monthly progress update call with Scotland Project Manager.</p> <p>Annual financial forecasting</p>
District councils Kahama, Bukombe, Maswa, Shinyanga Rural Districts, in Shinyanga, Simya and Geita regions and Lushoto and Korogwe District	Facilitation role	District Councils will provide agricultural extension services, be responsible for mobilising farmer groups and facilitating registration processes for land title deeds.	<p>Monthly field follow up visits to the farmer groups.</p> <p>Registration of land title deeds</p>



Councils in Tanga region			ongoing process throughout year.
RUDI Dar es Salaam and Shinyanga, Shinyanga Region	Rice value chain project implementation	Institutional capacity development of Rice Market Associations: capacity development of Market association committees, income diversification, linking market association to rural savings and credit facilities and agro inputs.	Producer groups and associations training and mentoring as per logframe.
SHDEPHA+ Kahama, Shinyanga Region	Rice value chain project implementation	Providing training and awareness raising on women's land rights and access, climate change adaptation and women's economic empowerment. Also will participate in project monitoring.	Conduct training and workshops with groups as per logframe.
Homeveg Company Ltd Lushoto, Tanga Region	Vegetable bulk buyer, quality control and exporter.	Vegetable farmers' capacity development: training and certifying vegetable producers in Global GAP standards, linking farmers to input loan providers and bulk buyers and selling vegetables to European markets.	Training as per logframe, buying and exporting.

Applicants are requested to complete the logical framework with details of both the outputs and outcome for this project. Guidance on completing the logical framework template is included in the Guidance Notes.

**PLEASE USE THE LOGICAL FRAMEWORK IN THE FORMAT SUPPLIED and note that there are two 'tabs' within the workbook, the first labelled Sub Saharan Africa Logical Framework and the second Sub Saharan Africa Activities Log. You are required to complete both.**

**12. With reference to the logical framework, please describe the problem that your project will address and state clearly the reasons why this project is needed. You should provide detail on how the need for the project was derived and the expected impact of this work. What are the specific activities you propose to undertake?**

**Maximum word limit: 500 words.**

Eighty per cent of Tanzania's poorest people live in rural areas and are dependent on subsistence farming or smallholder business in order to survive. Farmers in project areas are constrained by low productivity per unit area under cultivation. Individual farmers sell part of their crops at low prices immediately after harvest because of limited value addition opportunities in the supply chain, thus making their families vulnerable to food shortages at a later date. Unreliable markets, rain-fed dependant cultivation, severe climate change, unpredictable and variable rainfall cause crop failures. Farmers still experience high post-harvest losses due to limited handling skills and district trade barriers and ad hoc export bans which prevent producers from accessing potential markets. In particular, women farmers have limited access to water, land rights, agro inputs and capital loans. The proposed project builds on existing producer associations established through previous Scottish Government funding and scales successful approaches and interventions to more farmers in the

project regions. By targeting group leaders who are already members of functioning structures learning is shared amongst producer groups, widening impact. The project intends to consolidate gains in the project regions through approaches intended to create opportunities for smallholders to commercialise their farm produce. The rice<sup>1</sup> and vegetable<sup>2</sup> value chains have been identified by stakeholders in Shinyanga, Geita, Simyu and Tanga Regions as a mechanism to improve food security, income, and quality of life. The project will continue to improve farmers' ability to mitigate against and adapt to the effects of climate change and improve their resilience to climatic shocks. Climate mitigation measures such as small scale chaco dams, improved irrigation schemes, improved planting techniques and new rice varieties overlap with measures to improve the value chain for the farmers (value addition technology, improved access to agro inputs, markets and finance for enterprise development). By improving crop productivity, family income and consequently food security will be enhanced. Long term food security is supported by ensuring women have improved knowledge of, and access to, land rights.

In order to enhance sustainability of project work previously funded by the Scottish Government, this extension project focuses on strengthening the institutional capacities of 13 rice marketing associations and one vegetable producer association (LUKOVEG) as well as developing smallholders' information exchange and lobbying capacities for favourable policies. Productivity will be improved through strengthening access to markets, capital and agro-inputs as well training on Global GAP and post harvest management. Climate change adaptation skills development and improvements to irrigation and crop storage facilities will also ensure sustainability.

It is anticipated that 1,700 farmers (60% women) in rice growing regions will directly benefit from strengthened collective market systems, improved access to land rights, improved irrigation, improved agricultural practices and enterprise development. A further 8,710 farmers' family members, public reached through media and event outreach and district council extension officers will indirectly benefit from the project. In the vegetable sub-sector 500 farmers (60% women) will directly benefit from capacity building of producer association, improved agricultural practices in Global GAP, climate adaptation techniques, increased sales prices through high value crop production and links to reliable markets. A further 4,510 farmers' family members, public reached through media coverage and events and ten District Council extension officers will indirectly benefit from the project.

Total direct beneficiares: 2,200 smallholder farmers

Total indirect beneficiaries: 13,220 family members, public and extension officers

Oxfam will coordinate project monitoring, evaluation and learning events and will share the projects lessons learnt through multi-stakeholders fora, project reviews and through various mass media in Tanzania and Scotland.

<sup>1</sup> Rice is not only the one of the most important food crop in Shinyanga Region, but 41 per cent is exported. Out of 230,000 rice growers in Tanzania, one-third are in Shinyanga. Average rain-fed yields, however, are low (1.5 tonnes/ha) and rice warehouses are in poor states of repair.

<sup>2</sup> In Tanga Region, there are more than 240,000 vegetable growers. Vegetables are grown on steep slopes prone to erosion and half of the farmers lack irrigation. Oxfam studies reveal that the majority of producer groups have very little market knowledge, selling their products at the farm gate. Producers organisations are weak and cannot guarantee large volumes, regular supplies and quality standards required by national and export markets.

13. Please outline any assumptions that may affect the delivery of your project. Please complete the risk assessment table below to demonstrate how you have considered any potential risks in your planning (i.e. risks affecting the project over which the project has limited or no control e.g. collapse of currency/drought etc. Insert extra rows if necessary. Please refer to the Guidance Document.

<b>Risks</b>	<b>Likelihood of happening</b> (Low, Medium or High)	<b>Mitigating action(s)</b>	<b>Recovery plan</b>
Political instability in the country during and post general election expected in October 2015.	Low	Oxfam works closely with district councils and monitors political developments at a national and local level.	Local partner organisations with strong relationships and experience will deliver the project activities.
Severe drought affects crop production.	Medium	Focus on drought adaptation strategies such as irrigation and drought-tolerant varieties.	Employing crop resistant varieties like NERICA. Oxfam works with humanitarian partners to respond to severe climatic disasters.
Crop production affected by climatic variability and erosion of natural resource base.	Medium	Adaptation of farming systems, build community-adaptive capacities, integrate ecosystem health with farm production. Train farmers on climate change adaptations skills.	Work with government extension officers to strengthen understanding of climate change and with communities to build responsive adaptive capacities.
Poor quality of implementing partnerships.	Low	Oxfam selects strategic partnerships with organisations in accordance with Oxfam's Partnership Policy to maintain overall corporate values: empowerment, inclusiveness and accountability. Partnerships governed by Memoranda of Understanding and Letters of Agreement,	Communication, monitoring and review of partnerships.  Termination of partnerships in circumstances where working agreements are not adhered to.